

# 10  
4-11-02  
KW

Express Mail No. EL 452 482 397 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Kleinschmidt *et al.*

Group Art Unit: 1648

Serial No. 09/923,270

Examiner: To be assigned

Filing Date: August 3, 2001

Attorney Docket No.:  
8484-101-999

For: AAV DNA COMPRISING HELPER VIRUS  
SEQUENCES

TECH CENTER 1600/2900

RECEIVED  
APR 01 2002

**RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

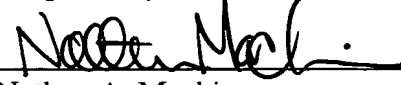
Sir:

In accordance with the Notice of Incomplete Reply (Nonprovisional), mailed January 31, 2002, applicants' attorney submits herewith two (2) sheets of substitute drawings, (2 Figures) in compliance with 37 CFR 1.84 for the above-referenced application. A four-month Extension of Time is enclosed.

The Commissioner is hereby authorized to charge any fees which may be associated with this communication to our Deposit Account No. 16-1150 (order no. 8484-101-999). A copy of this sheet is enclosed for such purpose.

Respectfully submitted,

Dated: February 19, 2002

  
Nathan A. Machin 47,763  
(Reg. No.)

For: Laura A. Coruzzi (Reg. No. 30,742)  
**Pennie & Edmonds LLP**  
1155 Avenue Of The Americas  
New York, New York 10036  
(650) 493-4935

RECEIVED

APR 01 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1000/2000

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,270	08/03/2001	Jurgen Kleinschmidt	8484-101-999

CONFIRMATION NO. 3472

FORMALITIES LETTER



\*OC000000007395400\*

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, NY 10036-2711



Date Mailed: 01/31/2002

### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 10/30/2001 to the Notice to File Missing Parts (Notice) mailed 08/27/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE